Datastat Research
Building Capacity in Training and Research

DATASTAT TRAINING IN

OFFICIAL COURSE PROSPECTUS

Center for Advanced Research & Professional Development

Nairobi Training Center • Global Virtual Academy • Corporate On-Site

LEGAL INSTITUTE

Securities Fraud, Insider Trading and Market Abuse Regulation Training Course

Comprehensive Course Syllabus, Practical Modules & Upcoming Schedules

PROGRAM SPECIFICATIONS & HIGHLIGHTS

DURATION
5 Days Practical Hands-on

DELIVERY MODES
In-Person Class & Live Virtual

CERTIFICATION
Accredited Certificate of Completion

INTAKES / DATES
Multiple Cohort Schedules Included

PREPARED FOR:

Valued Participant

Email: training@datastatresearch.org | Issued: November 23, 2026

Academic & Professional Training Year: 2026

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Securities Fraud, Insider Trading and Market Abuse Regulation Training Course

Legal Institute • 5 Days Intensive • Accredited Certification

Course Overview

Securities Fraud, Insider Trading and Market Abuse Regulation Training Course is designed to equip participants with the legal knowledge, regulatory expertise and practical investigative skills required to identify, prevent, investigate and respond to securities fraud and market abuse within modern financial markets.

Upcoming Training Schedules

Confirmed cohort intakes and venues. Both physical in-person classroom and live virtual online seats are available.

TRAINING DATES	LOCATION / VENUE	FORMAT / DURATION	INVESTMENT (FEE)
23 Nov 2026 – 27 Nov 2026	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Kigali	Classroom / 5d	**$3,000 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Dubai	Classroom / 5d	**$5,000 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Cape Town	Classroom / 5d	**$4,500 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Addis Ababa	Classroom / 5d	**$3,000 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Singapore	Classroom / 5d	**$5,000 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Istanbul	Classroom / 5d	**$5,000 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Bangkok	Classroom / 5d	**$5,000 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Accra	Classroom / 5d	**$4,000 USD / KES 110,000**
30 Nov 2026 – 4 Dec 2026	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
30 Nov 2026 – 4 Dec 2026	Kigali	Classroom / 5d	**$3,000 USD / KES 110,000**
30 Nov 2026 – 4 Dec 2026	Dubai	Classroom / 5d	**$5,000 USD / KES 110,000**

* Corporate discounts and customized cohort scheduling are available for teams of 3 or more participants.

Course Curriculum & Modules

Securities Fraud, Insider Trading and Market Abuse Regulation Training Course

Introduction

Securities Fraud, Insider Trading and Market Abuse Regulation Training Course is designed to equip participants with the legal knowledge, regulatory expertise and practical investigative skills required to identify, prevent, investigate and respond to securities fraud and market abuse within modern financial markets. This course explores the evolving legal and regulatory frameworks governing securities markets, including insider trading prohibitions, disclosure obligations, market surveillance requirements, securities fraud enforcement, corporate governance standards and investor protection mechanisms. Participants will gain practical insights into securities laws, financial crime compliance, capital markets regulation, anti-fraud controls and emerging regulatory expectations.

Participants will develop the ability to recognize suspicious trading patterns, assess material non-public information risks, investigate conflicts of interest, interpret trading surveillance alerts and implement effective internal controls to mitigate financial misconduct. Through practical case studies, simulated investigations, regulatory enforcement scenarios and interactive discussions, participants will explore how regulators and financial institutions address insider trading, market manipulation, false disclosures and other forms of securities-related misconduct. The training further emphasizes the importance of ethical leadership, whistleblower protection, cross-border regulatory cooperation, technology-driven surveillance and risk-based compliance strategies in maintaining market integrity, transparency and investor confidence.

Course Duration

5 Days

Course Objectives

- Understand international securities laws, capital markets regulation and legal frameworks governing securities fraud and market abuse.
- Identify different forms of securities fraud, including investment scams, fraudulent securities offerings and financial statement manipulation.
- Interpret insider trading regulations and understand restrictions relating to material non-public information (MNPI).
- Recognize market manipulation techniques, including spoofing, layering, wash trading and pump-and-dump schemes.
- Apply effective market surveillance techniques to identify suspicious trading activities and unusual market patterns.
- Conduct securities fraud investigations using financial intelligence, forensic accounting and digital evidence analysis.
- Strengthen regulatory compliance programmes through risk-based monitoring, internal controls and governance frameworks.
- Understand corporate disclosure obligations, transparency requirements and regulations governing price-sensitive information.
- Evaluate enforcement mechanisms, regulatory sanctions, civil liability and criminal prosecution for securities market misconduct.
- Develop effective insider trading prevention policies, employee dealing procedures and information barriers.
- Assess whistleblower reporting mechanisms, protected disclosures and securities fraud escalation procedures.
- Apply emerging technologies, artificial intelligence and data analytics in market abuse detection and financial crime prevention.
- Develop integrated compliance strategies that promote market integrity, investor protection and accountability.

Target Audience

- Securities and capital markets regulators and enforcement officers.
- Compliance officers, AML professionals and financial crime investigators.
- Investment bankers, stockbrokers and securities dealers.
- Portfolio managers, asset managers and investment analysts.
- Risk management professionals and internal auditors.
- Corporate legal advisers, company secretaries and governance professionals.
- Financial intelligence analysts, forensic accountants and fraud investigators.
- Exchange officials, market surveillance specialists and institutional investors.

Course Modules

Module 1: Fundamentals of Securities Fraud and Capital Markets Regulation

- Overview of securities markets, financial instruments and capital market structures.
- Principles of securities regulation and investor protection.
- Types and classifications of securities fraud.
- Regulatory responsibilities of securities commissions and stock exchanges.
- Legal consequences of securities market misconduct.
- Case Study: Examination of a fraudulent securities offering involving misleading investment disclosures, false financial statements and losses suffered by retail investors.

Module 2: Insider Trading Laws, Regulations and Compliance

- Definition and legal elements of insider trading.
- Material non-public information (MNPI) and price-sensitive information.
- Insider trading prohibitions and regulatory restrictions.
- Corporate insiders, connected persons and tipper-tippee liability.
- Insider lists, restricted trading windows and employee dealing policies.
- Case Study: Investigation of a senior corporate executive who purchases company shares before the public announcement of a major acquisition.

Module 3: Market Manipulation and Market Abuse Practices

- Market manipulation concepts and prohibited trading practices.
- Spoofing, layering, wash trading and matched orders.
- Pump-and-dump schemes and artificial price inflation.
- False market signals, rumor-based manipulation and deceptive disclosures.
- Market abuse prevention, detection and enforcement strategies.
- Case Study: Analysis of a coordinated trading scheme in which several traders artificially inflate a small-cap stock price before selling their holdings.

Module 4: Securities Fraud Investigation and Forensic Analysis

- Planning and conducting securities fraud investigations.

- Collection and preservation of financial and electronic evidence.
- Forensic accounting and transaction reconstruction.
- Analysis of trading records, brokerage accounts and beneficial ownership.
- Interview techniques, investigation reports and evidentiary standards.
- Case Study: A forensic investigation into suspicious securities transactions involving multiple brokerage accounts and concealed beneficial ownership.

Module 5: Market Surveillance, Data Analytics and Emerging Technologies

- Principles of real-time market surveillance and transaction monitoring.
- Identifying unusual trading volumes and abnormal price movements.
- Automated alerts and suspicious transaction reporting.
- Artificial intelligence (AI) and machine learning in market abuse detection.
- Big data analytics, algorithmic trading oversight and surveillance technology.
- Case Study: Deployment of an automated surveillance system to detect suspicious trading patterns preceding a material corporate announcement.

Module 6: Securities Compliance, Corporate Governance and Internal Controls

- Developing effective securities compliance management systems.
- Corporate governance responsibilities and board oversight.
- Information barriers, Chinese walls and conflict-of-interest management.
- Insider trading prevention policies and employee conduct requirements.
- Compliance testing, risk assessments and internal audit procedures.
- Case Study: Review of a financial institution's compliance failures following unauthorized access to confidential merger information by employees.

Module 7: Regulatory Enforcement, Penalties and Cross-Border Cooperation

- Regulatory investigations and enforcement procedures.
- Administrative sanctions, civil penalties and criminal prosecution.
- Securities litigation and investor compensation mechanisms.
- Cross-border investigations and international regulatory cooperation.
- Whistleblower protection and suspicious activity reporting.
- Case Study: Examination of a cross-border insider trading investigation involving offshore accounts, international brokerage firms and coordinated regulatory enforcement.

Module 8: Emerging Risks, Regulatory Developments and Market Integrity

- Digital securities fraud, cryptocurrency-related market manipulation and emerging risks.
- Social media-driven stock manipulation and investment misinformation.
- Algorithmic trading risks and high-frequency trading oversight.
- Cyber-enabled financial fraud and digital evidence management.
- Future trends in securities regulation, market transparency and investor protection.
- Case Study: Investigation of a social media-driven pump-and-dump operation targeting retail investors through misleading online investment recommendations.

Training Methodology

- Interactive lectures and presentations.
- Group discussions and brainstorming sessions.
- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@datastatresearch.org or call +254724527104

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to DATASTAT CONSULTANCY LTD account, as indicated in the invoice so as to enable us prepare better for you.

Certification & Learning Methodology

- Hands-on practical delivery with real-world datasets, case studies, and lab exercises.
- Post-training mentorship, access to class resources, and customized follow-up support.
- Participants who successfully complete the course receive an accredited Datastat Certificate of Completion.

How to Enroll & Reserve Your Seat

1. Online Registration: Visit https://datastatresearch.org/courses/securities-fraud-insider-trading-and-market-abuse-regulation-training-course to register online.
2. Email Booking: Send participant details to training@datastatresearch.org for official invoice & reservation.
3. Support & Assistance: Inquire via phone +254 724 527 104 | +254 734 969 612.