Datastat Research
Building Capacity in Training and Research

DATASTAT TRAINING IN

OFFICIAL COURSE PROSPECTUS

Center for Advanced Research & Professional Development

Nairobi Training Center • Global Virtual Academy • Corporate On-Site

ACCOUNTING AND FINANCE

Regulatory Technology (RegTech) and Automated Compliance Monitoring Training Course

Comprehensive Course Syllabus, Practical Modules & Upcoming Schedules

PROGRAM SPECIFICATIONS & HIGHLIGHTS

- **DURATION**
  5 Days Practical Hands-on
- **DELIVERY MODES**
  In-Person Class & Live Virtual
- **CERTIFICATION**
  Accredited Certificate of Completion
- **INTAKES / DATES**
  Multiple Cohort Schedules Included

PREPARED FOR:

Valued Participant

Email: training@datastatresearch.org | Issued: November 25, 2026

Academic & Professional Training Year: 2026

DATASTAT TRAINING INSTITUTE

P.O. Box 102802-00101, Nairobi, Kenya

Training Centers: Nairobi • Mombasa • Kigali • Dubai • Live Virtual Academy

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www.datastatresearch.org

Regulatory Technology (RegTech) and Automated Compliance Monitoring Training Course

Accounting and Finance • 5 Days Intensive • Accredited Certification

Course Overview

Regulatory Technology (RegTech) and Automated Compliance Monitoring Training Course is designed to equip professionals with advanced knowledge and practical skills in leveraging emerging technologies to strengthen regulatory compliance, risk management, and corporate governance.

Key Skills & Software Tools Covered

MS Word • IBM OpenPages • MetricStream • and Thomson Reuters CLEAR

Upcoming Training Schedules

Confirmed cohort intakes and venues. Both physical in-person classroom and live virtual online seats are available.

TRAINING DATES	LOCATION / VENUE	FORMAT / DURATION	INVESTMENT (FEE)
30 Nov 2026 – 4 Dec 2026	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
7 Dec 2026 – 11 Dec 2026	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
14 Dec 2026 – 18 Dec 2026	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
21 Dec 2026 – 25 Dec 2026	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
28 Dec 2026 – 1 Jan 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
4 Jan 2027 – 8 Jan 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
11 Jan 2027 – 15 Jan 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
18 Jan 2027 – 22 Jan 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
25 Jan 2027 – 29 Jan 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
1 Feb 2027 – 5 Feb 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
8 Feb 2027 – 12 Feb 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
15 Feb 2027 – 19 Feb 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
22 Feb 2027 – 26 Feb 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
1 Mar 2027 – 5 Mar 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
8 Mar 2027 – 12 Mar 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
15 Mar 2027 – 19 Mar 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
22 Mar 2027 – 26 Mar 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
29 Mar 2027 – 2 Apr 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
5 Apr 2027 – 9 Apr 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
12 Apr 2027 – 16 Apr 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
19 Apr 2027 – 23 Apr 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
26 Apr 2027 – 30 Apr 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**

3 May 2027 – 7 May 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
10 May 2027 – 14 May 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
17 May 2027 – 21 May 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
24 May 2027 – 28 May 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
31 May 2027 – 4 Jun 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
7 Jun 2027 – 11 Jun 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
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2 Aug 2027 – 6 Aug 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
9 Aug 2027 – 13 Aug 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
16 Aug 2027 – 20 Aug 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
23 Aug 2027 – 27 Aug 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
30 Aug 2027 – 3 Sep 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
6 Sep 2027 – 10 Sep 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
13 Sep 2027 – 17 Sep 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
20 Sep 2027 – 24 Sep 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
27 Sep 2027 – 1 Oct 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
4 Oct 2027 – 8 Oct 2027	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
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* Corporate discounts and customized cohort scheduling are available for teams of 3 or more participants.

Course Curriculum & Modules

Regulatory Technology (RegTech) and Automated Compliance Monitoring Training Course

Introduction

Regulatory Technology (RegTech) and Automated Compliance Monitoring Training Course is designed to equip professionals with advanced knowledge and practical skills in leveraging emerging technologies to strengthen regulatory compliance, risk management, and corporate governance. As regulatory environments become increasingly complex, organizations must adopt innovative digital compliance solutions, artificial intelligence, machine learning, robotic process automation, big data analytics, and cloud-based monitoring systems to improve regulatory reporting, detect compliance breaches, and minimize operational risks. This course explores how Regulatory Technology (RegTech) transforms traditional compliance processes through automated regulatory intelligence, real-time transaction monitoring, digital risk assessment, and intelligent compliance management frameworks.

Participants will gain practical insights into implementing automated compliance monitoring systems, streamlining regulatory reporting, strengthening anti-money laundering controls, improving data governance, and enhancing cybersecurity compliance. Through international case studies and practical exercises, the course examines global Regulatory Technology (RegTech) adoption, including financial institutions in the United Kingdom, Singapore, the United States, and the European Union. It also addresses regulatory data management, predictive compliance analytics, compliance automation, and emerging digital governance standards, enabling organizations to build proactive, transparent, cost-effective, and technology-driven compliance functions.

Course Objectives

By the end of this course, participants will be able to:

- Understand Regulatory Technology (RegTech) frameworks and emerging compliance technologies.
- Implement automated regulatory compliance monitoring systems.
- Apply artificial intelligence and machine learning in compliance management.
- Strengthen anti-money laundering and counter-terrorism financing monitoring.
- Automate regulatory reporting and compliance workflows.
- Conduct real-time transaction monitoring and anomaly detection.
- Apply predictive analytics for regulatory risk assessment.
- Improve data governance, privacy, and information security compliance.
- Integrate robotic process automation into compliance operations.
- Develop effective digital compliance transformation strategies.
- Monitor regulatory changes using automated regulatory intelligence tools.
- Strengthen internal controls, audit trails, and compliance accountability.
- Evaluate RegTech performance through compliance analytics and reporting.

Organizational Benefits

- Reduced regulatory compliance costs through automation.
- Improved accuracy of regulatory reporting.
- Enhanced fraud detection and prevention capabilities.
- Faster identification of compliance breaches.
- Strengthened enterprise risk management.
- Improved operational efficiency and productivity.
- Greater transparency and regulatory accountability.
- Reduced exposure to financial and reputational penalties.
- Stronger data protection and cybersecurity controls.
- Improved organizational readiness for regulatory changes.

Target Audiences

- Compliance officers and regulatory affairs professionals.
- Risk management and internal audit professionals.
- Banking and financial services executives.

- Anti-money laundering and fraud prevention specialists.
- Information technology and cybersecurity managers.
- Corporate governance and legal professionals.
- Financial regulators and supervisory authorities.
- Digital transformation and business intelligence specialists.

Course Duration: 5 days

Course Modules

Module 1: Introduction to Regulatory Technology (RegTech)

- RegTech concepts, evolution, applications, and global adoption.
- Regulatory compliance challenges and digital transformation.
- Key RegTech solutions and technology ecosystems.
- Regulatory innovation and supervisory technology developments.
- Global case study: United Kingdom Financial Conduct Authority's RegTech initiatives.
- Practical assessment of organizational RegTech readiness.

Module 2: Automated Compliance Monitoring Frameworks

- Designing automated compliance monitoring systems.
- Regulatory control mapping and compliance workflows.
- Continuous monitoring and exception management.
- Automated compliance testing and control validation.
- Global case study: Singapore's technology-driven financial compliance ecosystem.
- Developing automated compliance monitoring frameworks.

Module 3: Artificial Intelligence and Machine Learning in Compliance

- AI-powered regulatory compliance and decision-making.
- Machine learning applications in risk identification.
- Natural language processing for regulatory document analysis.
- Intelligent anomaly detection and predictive compliance.
- Global case study: United States financial institutions using AI for fraud detection.
- Practical applications of AI-driven compliance analytics.

Module 4: Anti-Money Laundering and Transaction Monitoring

- Automated anti-money laundering monitoring systems.
- Customer due diligence and know-your-customer automation.
- Suspicious transaction identification and reporting.
- Transaction screening and sanctions monitoring.
- Global case study: European Union financial institutions implementing automated AML controls.
- Strengthening financial crime detection and prevention.

Module 5: Regulatory Reporting and Robotic Process Automation

- Automating regulatory data collection and reporting.
- Robotic process automation in compliance operations.
- Regulatory reporting accuracy and validation.
- Workflow optimization and digital documentation.
- Global case study: Australian financial institutions automating regulatory reporting.
- Practical regulatory reporting automation exercises.

Module 6: Regulatory Data Governance and Cybersecurity

- Regulatory data management and governance frameworks.
- Data privacy, protection, and access controls.
- Cybersecurity risk monitoring and incident reporting.
- Cloud-based compliance systems and data integrity.

- Global case study: European Union General Data Protection Regulation compliance practices.
- Strengthening digital compliance and information security.

Module 7: Predictive Compliance Analytics and Risk Management

- Predictive analytics for regulatory risk identification.
- Automated risk scoring and compliance dashboards.
- Real-time risk indicators and performance monitoring.
- Compliance analytics and management reporting.
- Global case study: Singapore's financial risk monitoring and supervisory analytics.
- Developing predictive compliance assessment models.

Module 8: RegTech Implementation and Strategic Governance

- Developing organizational RegTech implementation strategies.
- Technology selection, integration, and vendor management.
- Measuring compliance automation effectiveness.
- Regulatory change management and continuous improvement.
- Global case study: United Kingdom financial services digital compliance transformation.
- Developing an organizational RegTech action plan.

Training Methodology

- Interactive presentations and expert-led discussions.
- Practical demonstrations of RegTech and compliance automation tools.
- International case studies and group-based analysis.
- Hands-on regulatory monitoring and risk assessment exercises.
- Group projects, simulations, and compliance reporting exercises.
- Knowledge assessments, feedback sessions, and action planning.

Register as a group from 3 participants for a Discount

Send us an email: info@datastatresearch.org or call +254724527104

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to DATASTAT CONSULTANCY LTD account, as indicated in the invoice so as to enable us prepare better for you.

Certification & Learning Methodology

- Hands-on practical delivery with real-world datasets, case studies, and lab exercises.
- Post-training mentorship, access to class resources, and customized follow-up support.
- Participants who successfully complete the course receive an accredited Datastat Certificate of Completion.

How to Enroll & Reserve Your Seat

1. Online Registration: Visit https://datastatresearch.org/courses/regulatory-technology-regtech-and-automated-compliance-monitoring-training-course to register o
2. Email Booking: Send participant details to training@datastatresearch.org for official invoice & reservation.
3. Support & Assistance: Inquire via phone +254 724 527 104 | +254 734 969 612.