Datastat Research
Building Capacity in Training and Research

DATASTAT TRAINING IN

OFFICIAL COURSE PROSPECTUS

Center for Advanced Research & Professional Development

Nairobi Training Center • Global Virtual Academy • Corporate On-Site

LEGAL INSTITUTE

Corporate Governance Audits and Regulatory Compliance Assessments Training Course

Comprehensive Course Syllabus, Practical Modules & Upcoming Schedules

PROGRAM SPECIFICATIONS & HIGHLIGHTS

DURATION
5 Days Practical Hands-on

DELIVERY MODES
In-Person Class & Live Virtual

CERTIFICATION
Accredited Certificate of Completion

INTAKES / DATES
Multiple Cohort Schedules Included

PREPARED FOR:

Valued Participant

Email: training@datastatresearch.org | Issued: November 21, 2026

Academic & Professional Training Year: 2026

DATASTAT TRAINING INSTITUTE

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Corporate Governance Audits and Regulatory Compliance Assessments Training Course

Legal Institute • 5 Days Intensive • Accredited Certification

Course Overview

Corporate Governance Audits and Regulatory Compliance Assessments Training Course is designed to equip professionals with advanced knowledge and practical competencies in corporate governance auditing, regulatory compliance reviews, board effectiveness assessments, enterprise risk management, and organizational accountability.

Key Skills & Software Tools Covered

Corporate Governance Auditing • Compliance Assessments • Internal Controls • Regulatory Risk Monitoring

Upcoming Training Schedules

Confirmed cohort intakes and venues. Both physical in-person classroom and live virtual online seats are available.

TRAINING DATES	LOCATION / VENUE	FORMAT / DURATION	INVESTMENT (FEE)
23 Nov 2026 – 27 Nov 2026	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Kigali	Classroom / 5d	**$3,000 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Dubai	Classroom / 5d	**$5,000 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Cape Town	Classroom / 5d	**$4,500 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Addis Ababa	Classroom / 5d	**$3,000 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Singapore	Classroom / 5d	**$5,000 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Istanbul	Classroom / 5d	**$5,000 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Bangkok	Classroom / 5d	**$5,000 USD / KES 110,000**
23 Nov 2026 – 27 Nov 2026	Accra	Classroom / 5d	**$4,000 USD / KES 110,000**
30 Nov 2026 – 4 Dec 2026	Nairobi	Classroom / 5d	**$1,500 USD / KES 110,000**
30 Nov 2026 – 4 Dec 2026	Kigali	Classroom / 5d	**$3,000 USD / KES 110,000**
30 Nov 2026 – 4 Dec 2026	Dubai	Classroom / 5d	**$5,000 USD / KES 110,000**

* Corporate discounts and customized cohort scheduling are available for teams of 3 or more participants.

Course Curriculum & Modules

Corporate Governance Audits and Regulatory Compliance Assessments Training Course

Introduction

Corporate governance and regulatory compliance are fundamental pillars of organizational sustainability, corporate accountability, ethical leadership, and effective risk management. In an increasingly complex regulatory environment, organizations must establish robust governance structures, transparent decision-making processes, effective internal controls, and comprehensive compliance monitoring systems to protect stakeholder interests and maintain institutional credibility. Corporate Governance Audits and Regulatory Compliance Assessments Training Course is designed to equip professionals with advanced knowledge and practical competencies in corporate governance auditing, regulatory compliance reviews, board effectiveness assessments, enterprise risk management, and organizational accountability. Participants will explore international corporate governance principles, regulatory frameworks, compliance audit methodologies, corporate ethics, anti-corruption controls, financial reporting standards, and emerging Environmental, Social and Governance (ESG) requirements. The course emphasizes the application of globally recognized governance standards, risk-based auditing approaches, regulatory intelligence, and compliance assurance techniques to strengthen organizational performance and promote responsible corporate leadership.

Through practical exercises, real-world case studies, interactive discussions, and professional audit simulations, participants will develop the ability to conduct comprehensive governance audits, identify regulatory gaps, evaluate internal control effectiveness, and recommend corrective actions that enhance organizational compliance. The training examines emerging compliance priorities, including ESG governance, anti-money laundering (AML), data protection, cybersecurity governance, fraud risk management, beneficial ownership transparency, whistleblower protection, and third-party compliance risk. Participants will also learn how to develop regulatory compliance assessment frameworks, prepare audit reports, monitor remediation plans, and establish continuous compliance improvement programmes. By integrating strategic governance oversight with operational compliance monitoring, the course enables organizations to minimize regulatory exposure, prevent corporate misconduct, improve board accountability, strengthen stakeholder confidence, and achieve sustainable business growth in an evolving global regulatory landscape.

Course Duration

5 days

Course Objectives

By the end of this training course, participants will be able to:

- Understand international corporate governance principles, frameworks, standards, and regulatory requirements.
- Develop effective corporate governance audit strategies aligned with organizational objectives and regulatory expectations.
- Conduct risk-based compliance assessments to identify regulatory gaps and organizational vulnerabilities.
- Evaluate board effectiveness, leadership accountability, committee structures, and corporate oversight mechanisms.
- Strengthen internal control systems through comprehensive governance audits and compliance testing.
- Apply enterprise risk management (ERM) methodologies to identify, assess, mitigate, and monitor compliance risks.
- Evaluate regulatory compliance programmes covering AML, anti-bribery, anti-corruption, and financial crime prevention.
- Assess corporate ethics, business integrity, whistleblower protection, and organizational accountability frameworks.
- Strengthen ESG governance, sustainability reporting, and responsible corporate management practices.
- Evaluate data protection, cybersecurity governance, and information security compliance controls.
- Develop effective regulatory compliance monitoring, testing, and reporting mechanisms.
- Prepare professional audit findings, compliance assessment reports, and corrective action recommendations.
- Establish continuous compliance improvement strategies using regulatory intelligence, digital audit tools, and performance monitoring indicators.

Target Audience

- Corporate governance officers, company secretaries, and board administrators.
- Internal auditors, external auditors, and audit managers.
- Chief compliance officers and regulatory compliance managers.
- Risk management professionals and enterprise risk officers.
- Legal advisers, corporate lawyers, and regulatory affairs specialists.
- Board members, directors, executive managers, and senior leadership teams.
- Finance managers, financial controllers, and corporate reporting professionals.
- ESG officers, ethics managers, fraud investigators, and corporate assurance professionals.

Course Modules

Module 1: Fundamentals of Corporate Governance and Regulatory Compliance

- Principles and foundations of modern corporate governance.
- International corporate governance codes and regulatory standards.
- Roles and responsibilities of boards, directors, and senior management.
- Corporate accountability, transparency, and stakeholder protection.
- Governance structures, policies, and institutional oversight mechanisms.
- Case Study: Examining a multinational corporation that experienced governance failures due to weak board oversight, inadequate accountability structures, and ineffective compliance policies.

Module 2: Corporate Governance Audit Frameworks and Methodologies

- Principles, scope, and objectives of corporate governance audits.
- Developing risk-based governance audit plans and programmes.
- Evaluating board composition, independence, and effectiveness.
- Reviewing corporate policies, governance charters, and delegated authority.
- Audit evidence collection, documentation, and governance evaluation techniques.

• Case Study: Conducting a simulated governance audit of a publicly listed company following allegations of board conflicts of interest and weaknesses in executive oversight.

Module 3: Regulatory Compliance Assessment and Legal Risk Management

• Understanding regulatory obligations and compliance frameworks.

• Regulatory mapping, obligation registers, and compliance inventories.

• Conducting regulatory gap analysis and compliance risk assessments.

• Evaluating statutory reporting and licensing requirements.

• Developing regulatory compliance monitoring and assurance programmes.

• Case Study: A financial services organization receives regulatory criticism for inadequate reporting and licensing controls.

Module 4: Internal Controls, Enterprise Risk Management and Audit Assurance

• Principles of internal control systems and assurance frameworks.

• Integrating corporate governance audits with enterprise risk management.

• Assessing operational, financial, strategic, and compliance risks.

• Evaluating control design, implementation, and operating effectiveness.

• Developing risk mitigation strategies and internal control improvement plans.

• Case Study: An organization experiences recurring financial irregularities caused by inadequate segregation of duties and weak approval controls.

Module 5: Corporate Ethics, Anti-Corruption and Financial Crime Compliance

• Developing corporate ethics policies and business integrity frameworks.

• Anti-bribery and anti-corruption compliance assessments.

• Anti-money laundering (AML) and counter-terrorist financing controls.

• Fraud prevention, investigation, and misconduct reporting mechanisms.

• Whistleblower protection, conflicts of interest, and third-party due diligence.

• Case Study: A multinational company discovers suspected bribery involving a third-party supplier.

Module 6: ESG Governance, Sustainability and Stakeholder Accountability

• Environmental, Social and Governance (ESG) regulatory developments.

• ESG governance structures and board-level sustainability oversight.

• Sustainability reporting, disclosure controls, and assurance processes.

• Climate risk governance and responsible investment compliance.

• Stakeholder engagement, corporate social responsibility, and accountability.

• Case Study: A manufacturing organization faces investor scrutiny over inaccurate sustainability disclosures and inadequate environmental risk oversight.

Module 7: Digital Governance, Data Protection and Cybersecurity Compliance

• Corporate data governance policies and accountability structures.

• Data protection legislation and privacy compliance assessments.

• Cybersecurity governance and information security risk management.

• Digital audit tools, compliance automation, and regulatory technology (RegTech).

• Artificial intelligence governance, technology risk, and digital accountability.

• Case Study: A company experiences a significant data breach involving customer information.

Module 8: Audit Reporting, Regulatory Remediation and Continuous Compliance Improvement

• Preparing corporate governance audit reports and compliance findings.

• Classifying audit observations according to risk and regulatory significance.

• Developing corrective and preventive action plans (CAPA).

• Establishing compliance performance indicators and remediation tracking.

• Implementing continuous auditing and governance improvement frameworks.

• Case Study: An organization receives a regulatory enforcement notice identifying several governance and compliance deficiencies.

Training Methodology

• Interactive lectures and presentations.

• Group discussions and brainstorming sessions.

- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@datastatresearch.org or call +254724527104

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to DATASTAT CONSULTANCY LTD account, as indicated in the invoice so as to enable us prepare better for you.

Certification & Learning Methodology

- Hands-on practical delivery with real-world datasets, case studies, and lab exercises.
- Post-training mentorship, access to class resources, and customized follow-up support.
- Participants who successfully complete the course receive an accredited Datastat Certificate of Completion.

How to Enroll & Reserve Your Seat

1. Online Registration: Visit https://datastatresearch.org/courses/corporate-governance-audits-and-regulatory-compliance-assessments-training-course to register o
2. Email Booking: Send participant details to training@datastatresearch.org for official invoice & reservation.
3. Support & Assistance: Inquire via phone +254 724 527 104 | +254 734 969 612.